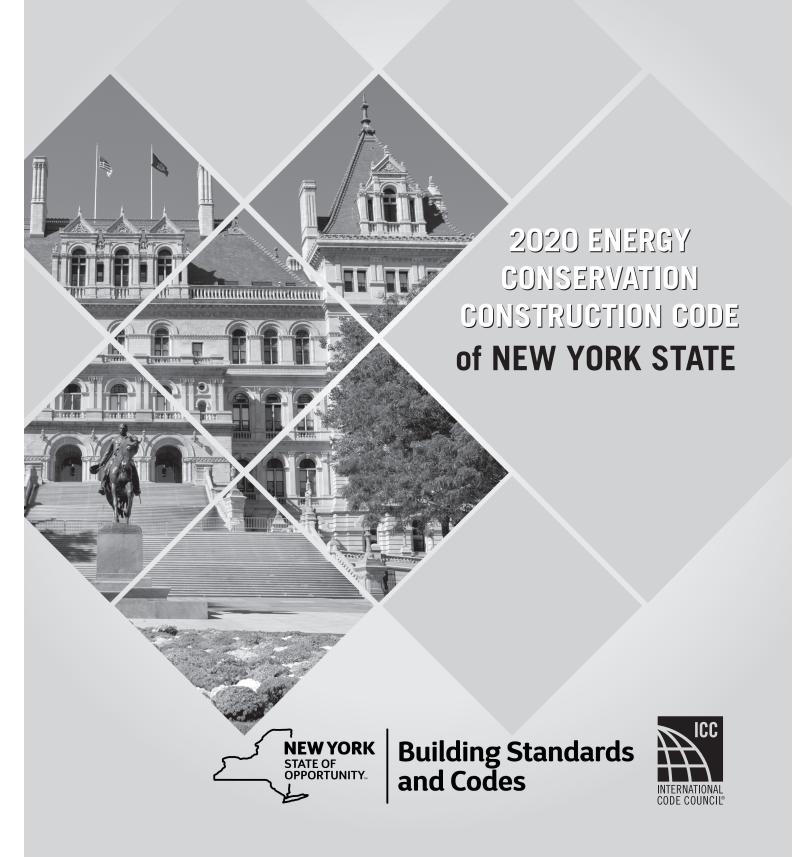
ANDREW M. CUOMO GOVERNOR ROSSANA ROSADO SECRETARY OF STATE



2020 Energy Conservation Construction Code of New York State

Publication Date: November 2019

ISBN: 978-1-60983-942-0

COPYRIGHT © 2019
by
INTERNATIONAL CODE COUNCIL, INC.
and
NEW YORK STATE DEPARTMENT OF STATE

ALL RIGHTS RESERVED. This 2020 Energy Conservation Construction Code of New York State is a copyrighted work owned by the International Code Council, Inc. ("ICC"). This work contains: (1) portions of the ICC International Codes[®] (I-Codes[®]); (2) material that is a derivative of the I-Codes; and (3) wholly original materials prepared by the New York State Department of State (NYSDOS) or by the New York State Fire Prevention and Building Code Council (the "Code Council"). The International Code Council, Inc., has copyright ownership of the I-Codes. The International Code Council and the New York State Department of State have joint copyright ownership of the material that is a derivative of the I-Codes. The New York State Department of State has copyright ownership of the wholly original materials prepared by the New York State Department of State and/or by the Code Council. As to the ICC International Codes, all rights, including the right of reproduction in whole or in part in any form, are reserved to the International Code Council. As to the material that is derivative of the I-Codes, all rights, including the right of reproduction in whole or in part in any form, are reserved to the International Code Council and the New York State Department of State, jointly. As to the wholly original materials prepared by the New York State Department of State or by the Code Council, all rights, including the right of reproduction in whole or in part in any form, are reserved to the New York State Department of State. Without advance written permission from the copyright owner, no part of this book may be reproduced, distributed or transmitted in any form or by any means, including, without limitation, electronic, optical or mechanical means (by way of example, and not limitation, photocopying or recording by or in an information storage retrieval system). For information on use rights and permissions, please contact: ICC Publications, 4051 Flossmoor Road, Country Club Hills, IL 60478. Phone 1-888-ICC-SAFE (422-7233).

Trademarks: "International Code Council," the "International Code Council" logo, "ICC," the "ICC" logo, "International Energy Conservation Code," "IECC" and other names and trademarks appearing in this book are registered trademarks of the International Code Council, Inc., and/or its licensors (as applicable), and may not be used without permission.

ACKNOWLEDGMENTS

With gratitude, the Department of State acknowledges the contributions of the following individuals in the creation of the 2020 Codes of New York State:

STATE FIRE PREVENTION AND BUILDING CODE COUNCIL

Rossana Rosado Chair – Secretary of State, New York State Department of State

Designee - Matthew W. Tebo, Esq.

Francis J. Nerney, Jr. State Fire Administrator, Office of Fire Prevention and Control

Designee - Paul Martin

RuthAnne Visnauskas Commissioner, Division of Housing and Community Renewal

Designee - Michael Weber Designee - Joseph Palozzola

Roberta Reardon Commissioner, New York State Department of Labor

Designee - Vincent R. Rapacciuolo

Honorable Bill de Blasio Mayor, City of New York

Designee - Keith Wen, NYC Department of Buildings

Honorable Michael R. Sabatino, Jr. Councilmember, City of Yonkers

Claudia K. Braymer, Esq. County Board of Supervisors, Warren County

David A. Seeley Supervisor, Town of Irondequoit
Timothy DeRuyscher, P.E. FSFPE Professional Engineer Representative

Patrick Dolan Trade Union Representative, Steamfitters Union, 638
Shawn Hamlin, R.A. Registered Architect Representative, Hamlin Design Group
Robert Hughes Code Enforcement Representative, Village of Pleasantville

Dominic Marinelli Persons with Disabilities Representative, United Spinal Association

Joseph J. Toomey Fire Service Representative, Albany Fire Department William W. Tuyn Builders Representative, Forbes Capretto Homes

DEPARTMENT OF STATE

Rossana Rosado Secretary of State

Brendan Hughes Executive Deputy Secretary of State

James W. Leary, Esq. Assistant Executive Deputy Secretary of State
Mark P. Pattison Deputy Secretary of State for Local Government

Matthew W. Tebo, Esq. Deputy Secretary of State for Agency Transformation and External Affairs

John R. Addario, P.E. Director of the Division of Building Standards and Codes

Brian Tollisen, P.E. Deputy Director of the Division of Building Standards and Codes

Gerard A. Hathaway, R.A.

Kevin Duerr-Clark, P.E.

Francis "Nick" McAndrew, P.E.

Joseph Hill, R.A.

Assistant Director for Technical Support

Assistant Director for Educational Services

Assistant Director for Code Administration

Jeffrey M. Hinderliter, P.E. Professional Engineer Emma Gonzalez-Laders, R.A., LEED AP Senior Architect

Daniel Carroll Code Compliance Specialist I

Janet Miller Program Aide

Joseph P. Ball, Esq. Supervising Attorney Panagiota K. Hyde, Esq. Senior Attorney

IN MEMORIAM

John H. Flanagan Code Enforcement Representative, Code Council Member (2003–2017)

Honorable Judith Kennedy Mayor, City of Newburgh, Code Council Member (2013–2018)

Brendan Fitzgerald Executive Deputy Secretary of State (2016–2018)

PREFACE

Introduction

The Energy Conservation Construction Code of New York State (ECCCNYS) establishes minimum requirements for energy-efficient buildings using prescriptive and performance-related provisions. It is founded on broad-based principles that make possible the use of new materials and new energy-efficient designs. This 2020 edition was developed as a derivative work of the 2018 edition of the International Energy Conservation Code® (IECC®) published by the International Code Council® (ICC®).

This code contains separate provisions for commercial buildings and for low-rise residential buildings (3 stories or less in height above grade). Each set of provisions, IECC—Commercial Provisions and IECC—Residential Provisions, is separately applied to buildings within its respective scope. Each set of provisions is to be treated separately. Each contains a Scope and Administration chapter, a Definitions chapter, a General Requirements chapter, a chapter containing energy efficiency requirements and existing building provisions applicable to buildings within its scope.

Intention

This code is founded on principles intended to establish provisions consistent with the scope of an energy conservation code that adequately conserves energy; provisions that do not unnecessarily increase construction costs; provisions that do not restrict the use of new materials, products or methods of construction; and provisions that do not give preferential treatment to particular types or classes of materials, products or methods of construction.

Letter Designations in Front of Section Numbers

The bracketed letter designations for the party responsible for portions of this code are as follows:

ICC Code Development Committee

[CE] = International Commercial Energy Conservation Code Development Committee

[RE] = International Residential Energy Conservation Code Development Committee

New York State Code Development

[NY] = New York State Department of State

Marginal Markings

Solid vertical lines in the margins within the body of the code indicate a technical change from the requirements of the 2015 edition of the I-Codes®. Deletion indicators in the form of an arrow (⇒) are provided in the margin where an entire section, paragraph, exception or table has been deleted or an item in a list of items or a table has been deleted.

Italicized Terms

Selected words and terms defined in Chapter 2, Definitions, are italicized where they appear in code text and the Chapter 2 definition applies. Where such words and terms are not italicized, commonuse definitions apply. The words and terms selected have code-specific definitions that the user should read carefully to facilitate better understanding of the code.

EFFECTIVE USE OF THE ENERGY CONSERVATION CONSTRUCTION CODE OF NEW YORK STATE

The Energy Conservation Construction Code of New York State (ECCCNYS) is a code that regulates minimum energy conservation requirements for new buildings. The ECCCNYS addresses energy conservation requirements for all aspects of energy uses in both commercial and residential construction, including heating and ventilating, lighting, water heating, and power usage for appliances and building systems.

The ECCCNYS is a design document. For example, before one constructs a building, the designer must determine the minimum insulation *R*-values and fenestration *U*-factors for the building exterior envelope. Depending on whether the building is for residential use or for commercial use, the ECCCNYS sets forth minimum requirements for exterior envelope insulation, window and door *U*-factors and SHGC ratings, duct insulation, lighting and power efficiency, and water distribution insulation.

Arrangement and Format of the 2020 ECCCNYS

The ECCCNYS contains two separate sets of provisions—one for commercial buildings and one for residential buildings. Each set of provisions is applied separately to buildings within their scope. The ECCCNYS—Commercial Provisions apply to all buildings except for residential buildings three stories or less in height. The ECCCNYS—Residential Provisions apply to detached one- and two-family dwellings and multiple single-family dwellings as well as *Group R-2*, R-3 and R-4 buildings three stories or less in height. These scopes are based on the definitions of "Commercial building" and "Residential building," respectively, in Chapter 2 of each set of provisions. Note that the ECCCNYS—Commercial Provisions therefore contain provisions for residential buildings four stories or greater in height. Each set of provisions is divided into five different parts:

Chapters	Subjects
1–2	Administration and definitions
3	Climate zones and general materials requirements
4	Energy efficiency requirements
5	Existing buildings
6	Referenced standards

The following is a chapter-by-chapter synopsis of the scope and intent of the provisions of the *Energy Conservation Construction Code of New York State* and applies to both the commercial and residential energy provisions:

Chapter 1 Scope and Administration. This chapter contains provisions for the application, enforcement and administration of subsequent requirements of the code. In addition to establishing the scope of the code, Chapter 1 identifies which buildings and structures come under its purview.

Chapter 2 Definitions. Chapter 2 is the repository of the definitions of terms used in the body of the code. Codes are technical documents and every word, term and punctuation mark can impact the meaning of the code text and the intended results. The code often uses terms that have a unique meaning in the code and the code meaning can differ substantially from the ordinarily understood meaning of the term as used outside of the code.

The terms defined in Chapter 2 are deemed to be of prime importance in establishing the meaning and intent of the code text. The user of the code should be familiar with and consult this chapter because the definitions are essential to the correct interpretation of the code and the user may not be aware that a term is defined.

Where understanding of a term's definition is especially key to or necessary for understanding of a particular code provision, the term is shown in *italics*. This is true only for those terms that have a meaning that is unique to the code. In other words, the generally understood meaning of a term or phrase might not be sufficient or consistent with the meaning prescribed by the code; therefore, it is essential that the code-defined meaning be known.

Guidance regarding tense, gender and plurality of defined terms as well as guidance regarding terms not defined in this code is provided.

Chapter 3 General Requirements. Chapter 3 specifies the climate zones that will serve to establish the exterior design conditions. In addition, Chapter 3 provides interior design conditions that are used as a basis for assumptions in heating and cooling load calculations, and provides basic material requirements for insulation materials and fenestration materials.

Climate has a major impact on the energy use of most buildings. The code establishes many requirements such as wall and roof insulation *R*-values, window and door thermal transmittance (*U*-factors) and provisions that affect the mechanical systems based on the climate where the building is located. This chapter contains information that will be used to properly assign the building location into the correct climate zone and is used as the basis for establishing or eliminating requirements.

Chapter 4 Energy Efficiency. Chapter 4 of each set of provisions contains the technical requirements for energy efficiency.

Commercial Energy Efficiency. Chapter 4 of the ECCCNYS—Commercial Provisions contains the energy-efficiency-related requirements for the design and construction of most types of commercial buildings and residential buildings greater than three stories in height above grade. This chapter defines requirements for the portions of the building and building systems that impact energy use in new commercial construction and new residential construction greater than three stories in height, and promotes the effective use of energy. In addition to energy conservation requirements for the building envelope, this chapter contains requirements that impact energy efficiency for the HVAC systems, the electrical systems and the plumbing systems. It should be noted, however, that requirements are contained in other codes that have an impact on energy conservation. For instance, requirements for water flow rates are regulated by the *Plumbing Code of New York State*.

Residential Energy Efficiency. Chapter 4 of the ECCCNYS—Residential Provisions contains the energy-efficiency-related requirements for the design and construction of residential buildings regulated under this code. It should be noted that the definition of a residential building in this code is unique for this code. In this code, a residential building is a detached one- and two-family dwelling and multiple single-family dwellings as well as R-2, R-3 or R-4 buildings three stories or less in height. All other buildings, including residential buildings greater than three stories in height, are regulated by the energy conservation requirements in the ECCCNYS—Commercial Provisions. The applicable portions of a residential building must comply with the provisions within this chapter for energy efficiency. This chapter defines requirements for the portions of the building and building systems that impact energy use in new residential construction and promotes the effective use of energy. The provisions within the chapter promote energy efficiency in the building envelope, the heating and cooling system and the service water heating system of the building.

Chapter 5 Existing Buildings. Chapter 5 of each set of provisions contains the technical energy efficiency requirements for existing buildings. Chapter 5 provisions address the maintenance of buildings in compliance with the code as well as how additions, alterations, repairs and changes of occupancy need to be addressed from the standpoint of energy efficiency. Specific provisions are provided for historic buildings.

Chapter 6 Referenced Standards. The code contains numerous references to standards that are used to regulate materials and methods of construction. Chapter 6 contains a comprehensive list of all standards that are referenced in the code. The standards are part of the code to the extent of the reference to the standard. Compliance with the referenced standard is necessary for compliance with this code. By providing specifically adopted standards, the construction and installation requirements necessary for compliance with the code can be readily determined. The basis for code compliance is, therefore, established and available on an equal basis to the building official, contractor, designer and owner.

Chapter 6 is organized in a manner that makes it easy to locate specific standards. It lists all of the referenced standards, alphabetically, by acronym of the promulgating agency of the standard. Each agency's standards are then listed in either alphabetical or numeric order based on the standard identification. The list also contains the title of the standard; the edition (date) of the standard referenced; any addenda; and the section or sections of this code that reference the standard.

Abbreviations and Notations

The following is a list of common abbreviations and units of measurement used in this code. Some of the abbreviations are for terms defined in Chapter 2. Others are terms used in various tables and text of the code.

AFUE Annual fuel utilization efficiency

bhp Brake horsepower (fans)

Btu British thermal unit

Btu/h-ft² Btu per hour per square foot C-factor See Chapter 2—Definitions

CDD Cooling degree days cfm Cubic feet per minute

cfm/ft² Cubic feet per minute per square foot

ci Continuous insulation

COP Coefficient of performance
DCV Demand control ventilation

°C Degrees Celsius

°F Degrees Fahrenheit

DWHR Drain water heat recovery

DX Direct expansion

 $egin{array}{ll} E_c & ext{Combustion efficiency} \ E_v & ext{Ventilation efficiency} \ E_t & ext{Thermal efficiency} \ & ext{EER} & ext{Energy efficiency ratio} \ \end{array}$

EF Energy factor

ERI Energy rating index

F-factor See Chapter 2—Definitions
FDD Fault detection and diagnostics

FEG Fan efficiency grade

FL Full load ft² Square foot

gpm Gallons per minute
HDD Heating degree days

hp Horsepower

HSPF Heating seasonal performance factor
HVAC Heating, ventilating and air conditioning

IEER Integrated energy efficiency ratio

IPLV Integrated Part Load Value

Kg/m² Kilograms per square meter

kW Kilowatt

LPD Light power density (lighting power allowance)

L/s Liters per second
Ls Liner system
m² Square meters

MERV Minimum efficiency reporting value

NAECA National Appliance Energy Conservation Act

NPLV Nonstandard Part Load Value

Pa Pascal

PF Projection factor

pcf Pounds per cubic foot psf Pounds per square foot

PTAC Packaged terminal air conditioner
PTHP Packaged terminal heat pump
R-value See Chapter 2—Definitions

SCOP Sensible coefficient of performance
SEER Seasonal energy efficiency ratio
SHGC Solar Heat Gain Coefficient

SPVAC Single packaged vertical air conditioner
SPVHP Single packaged vertical heat pump

SRI Solar reflectance index

SWHF Service water heat recovery factor

U-factor See Chapter 2—Definitions

VAV Variable air volume

VRF Variable refrigerant flow VT Visible transmittance

W Watts

w.c. Water columnw.g. Water gauge

TABLE OF CONTENTS

ECCCNYS—	ECCCNYS—
COMMERCIAL PROVISIONSC-1	RESIDENTIAL PROVISIONS R-1
CHAPTER 1 SCOPE AND ADMINISTRATION	CHAPTER 1 SCOPE AND ADMINISTRATION
CHAPTER 2 DEFINITIONS	CHAPTER 2 DEFINITIONS
CHAPTER 3 GENERAL REQUIREMENTS C-17	CHAPTER 3 GENERAL REQUIREMENTS R-13
CHAPTER 4 COMMERCIAL ENERGY EFFICIENCY	
CHAPTER 5 EXISTING BUILDINGS	CHAPTER 5 EXISTING BUILDINGS
CHAPTER 6 REFERENCED STANDARDSC-91	CHAPTER 6 REFERENCED STANDARDS R-37
APPENDIX CA SOLAR-READY ZONE—COMMERCIAL	APPENDIX RA SOLAR-READY PROVISIONS—DETACHED ONE- AND TWO-FAMILY DWELLINGS AND TOWNHOUSES
	INDEX R-43