

CHAPTER 3

GENERAL REGULATIONS

SECTION 301 GENERAL

301.1 Scope. This chapter shall govern the approval and installation of all *equipment* and appliances that comprise parts of the installations regulated by this code in accordance with Section 101.2.

301.1.1 Other fuels. The requirements for combustion and dilution air for gas-fired appliances shall be governed by Section 304. The requirements for combustion and dilution air for appliances operating with fuels other than fuel gas shall be regulated by the *Mechanical Code of New York State*.

301.2 Energy utilization. Heating, ventilating and air-conditioning systems of all structures shall be designed and installed for efficient utilization of energy in accordance with the *Energy Conservation Construction Code of New York State*.

301.3 Listed and labeled. Appliances regulated by this code shall be *listed* and *labeled* for the application in which they are used unless otherwise *approved* in accordance with Section 104. The approval of unlisted appliances in accordance with Section 104 shall be based on *approved* engineering evaluation.

301.4 Labeling. Labeling shall be in accordance with the procedures set forth in Sections 301.4.1 through 301.4.2.3.

301.4.1 Testing. An *approved* agency shall test a representative sample of the appliances being *labeled* to the relevant standard or standards. The *approved* agency shall maintain a record of all of the tests performed. The record shall provide sufficient detail to verify compliance with the test standard.

301.4.2 Inspection and identification. The *approved* agency shall periodically perform an inspection, which shall be in-plant if necessary, of the appliances to be *labeled*. The inspection shall verify that the *labeled* appliances are representative of the appliances tested.

301.4.2.1 Independent. The agency to be *approved* shall be objective and competent. To confirm its objectivity, the agency shall disclose all possible conflicts of interest.

301.4.2.2 Equipment. An *approved* agency shall have adequate *equipment* to perform all required tests. The *equipment* shall be periodically calibrated.

301.4.2.3 Personnel. An *approved* agency shall employ experienced personnel educated in conducting, supervising and evaluating tests.

301.5 Label information. A permanent factory-applied nameplate(s) shall be affixed to appliances on which shall appear in legible lettering, the manufacturer's name or trademark, the model number, serial number and, for *listed* appliances, the seal or mark of the testing agency. A label shall include the hourly rating in British thermal units per hour

(Btu/h) (W); the type of fuel *approved* for use with the *appliance*; and the minimum *clearance* requirements.

301.6 Plumbing connections. Potable water supply and building drainage system connections to appliances regulated by this code shall be in accordance with the *Plumbing Code of New York State*.

301.7 Fuel types. Appliances shall be designed for use with the type of fuel gas that will be supplied to them.

301.7.1 Appliance fuel conversion. Appliances shall not be converted to utilize a different fuel gas except where complete instructions for such conversion are provided in the installation instructions, by the serving gas supplier or by the *appliance* manufacturer.

301.8 Vibration isolation. Where means for isolation of vibration of an *appliance* is installed, an *approved* means for support and restraint of that *appliance* shall be provided.

301.9 Repair. Defective material or parts shall be replaced or repaired in such a manner so as to preserve the original approval or listing.

301.10 Wind resistance. Appliances and supports that are exposed to wind shall be designed and installed to resist the wind pressures determined in accordance with the *Building Code of New York State*.

[BS] **301.11 Flood hazard.** For structures located in flood hazard areas, the appliance, equipment and system installations regulated by this code shall be located at or above the elevation required by Section 1612 of the *Building Code of New York State* for utilities and attendant equipment.

Exception: The appliance, equipment and system installations regulated by this code are permitted to be located below the elevation required by Section 1612 of the *Building Code of New York State* for utilities and attendant equipment provided that they are designed and installed to prevent water from entering or accumulating within the components and to resist hydrostatic and hydrodynamic loads and stresses, including the effects of buoyancy, during the occurrence of flooding to such elevation.

301.12 Seismic resistance. Where earthquake loads are applicable in accordance with the *Building Code of New York State*, the supports shall be designed and installed for the seismic forces in accordance with that code.

301.13 Ducts. Ducts required for the installation of systems regulated by this code shall be designed and installed in accordance with the *Mechanical Code of New York State*.

301.14 Rodentproofing. Buildings or structures and the walls enclosing habitable or occupiable rooms and spaces in which persons live, sleep or work, or in which feed, food or foodstuffs are stored, prepared, processed, served or sold, shall be constructed to protect against rodents in accordance with the *Building Code of New York State*.

301.15 Prohibited location. The appliances, *equipment* and systems regulated by this code shall not be located in an elevator shaft.

SECTION 302 STRUCTURAL SAFETY

[BS] 302.1 Structural safety. The building shall not be weakened by the installation of any gas *pip*ing. In the process of installing or repairing any gas *pip*ing, the finished floors, walls, ceilings, tile work or any other part of the building or premises that is required to be changed or replaced shall be left in a safe structural condition in accordance with the requirements of the *Building Code of New York State*.

[BF] 302.2 Penetrations of floor/ceiling assemblies and fire-resistance-rated assemblies. Penetrations of floor/ceiling assemblies and assemblies required to have a fire-resistance rating shall be protected in accordance with the *Building Code of New York State*.

[BS] 302.3 Cutting, notching and boring in wood members. The cutting, notching and boring of wood members shall comply with Sections 302.3.1 through 302.3.4.

[BS] 302.3.1 Engineered wood products. Cuts, notches and holes bored in trusses, structural composite lumber, structural glued-laminated members and I-joists are prohibited except where permitted by the manufacturer's recommendations or where the effects of such alterations are specifically considered in the design of the member by a registered design professional.

[BS] 302.3.2 Joist notching and boring. Notching at the ends of joists shall not exceed one-fourth the joist depth. Holes bored in joists shall not be within 2 inches (51 mm) of the top and bottom of the joist and their diameters shall not exceed one-third the depth of the member. Notches in the top or bottom of the joist shall not exceed one-sixth the depth and shall not be located in the middle one-third of the span.

[BS] 302.3.3 Stud cutting and notching. In exterior walls and bearing partitions, any wood stud is permitted to be cut or notched to a depth not exceeding 25 percent of its width. Cutting or notching of studs to a depth not greater than 40 percent of the width of the stud is permitted in nonload-bearing partitions supporting no loads other than the weight of the partition.

[BS] 302.3.4 Bored holes. The diameter of bored holes in wood studs shall not exceed 40 percent of the stud depth. The diameter of bored holes in wood studs shall not exceed 60 percent of the stud depth in nonbearing partitions. The diameter of bored holes in wood studs shall not exceed 60 percent of the stud depth in any wall where each stud is doubled, provided that not more than two such successive doubled studs are so bored. The edge of the bored hole shall be not closer than $\frac{5}{8}$ inch (15.9 mm) to the edge of the stud. Bored holes shall not be located at the same section of stud as a cut or notch.

[BS] 302.4 Alterations to trusses. Truss members and components shall not be cut, drilled, notched, spliced or otherwise altered in any way without the written concurrence and approval of a registered design professional. Alterations resulting in the addition of loads to any member, such as HVAC *equipment* and water heaters, shall not be permitted without verification that the truss is capable of supporting such additional loading.

[BS] 302.5 Cutting, notching and boring holes in structural steel framing. The cutting, notching and boring of

holes in structural steel framing members shall be as prescribed by the registered design professional.

[BS] 302.6 Cutting, notching and boring holes in cold-formed steel framing. Flanges and lips of load-bearing, cold-formed steel framing members shall not be cut or notched. Holes in webs of load-bearing, cold-formed steel framing members shall be permitted along the centerline of the web of the framing member and shall not exceed the dimensional limitations, penetration spacing or minimum hole edge distance as prescribed by the registered design professional. Cutting, notching and boring holes of steel floor/roof decking shall be as prescribed by the registered design professional.

[BS] 302.7 Cutting, notching and boring holes in non-structural cold-formed steel wall framing. Flanges and lips of nonstructural cold-formed steel wall studs shall be permitted along the centerline of the web of the framing member, shall not exceed 1½ inches (38 mm) in width or 4 inches (102 mm) in length, and the holes shall not be spaced less than 24 inches (610 mm) center to center from another hole or less than 10 inches (254 mm) from the bearing end.

SECTION 303 APPLIANCE LOCATION

303.1 General. Appliances shall be located as required by this section, specific requirements elsewhere in this code and the conditions of the *equipment* and *appliance* listing.

303.2 Hazardous locations. Appliances shall not be located in a *hazardous location* unless *listed* and *approved* for the specific installation.

303.3 Prohibited locations. Appliances shall not be located in sleeping rooms, bathrooms, toilet rooms, storage closets or surgical rooms, or in a space that opens only into such rooms or spaces, except where the installation complies with one of the following:

1. The *appliance* is a direct-vent *appliance* installed in accordance with the conditions of the listing and the manufacturer's instructions.
2. Vented room heaters, wall furnaces, vented decorative appliances, vented gas fireplaces, vented gas fireplace heaters and decorative appliances for installation in vented solid fuel-burning fireplaces are installed in rooms that meet the required volume criteria of Section 304.5.
3. A single wall-mounted unvented room heater is installed in a bathroom and such unvented room heater is equipped as specified in Section 621.6 and has an input rating not greater than 6,000 Btu/h (1.76 kW). The bathroom shall meet the required volume criteria of Section 304.5.
4. A single wall-mounted unvented room heater is installed in a bedroom and such unvented room heater is equipped as specified in Section 621.6 and has an input rating not greater than 10,000 Btu/h (2.93 kW). The bedroom shall meet the required volume criteria of Section 304.5.
5. The *appliance* is installed in a room or space that opens only into a bedroom or bathroom, and such room or space is used for no other purpose and is provided with a solid weather-stripped door equipped with an

approved self-closing device. *Combustion air* shall be taken directly from the outdoors in accordance with Section 304.6.

6. A clothes dryer is installed in a residential bathroom or toilet room having a permanent opening with an area of not less than 100 square inches (0.06 m²) that communicates with a space outside of a sleeping room, bathroom, toilet room or storage closet.

303.3.1 Fireplaces and decorative appliances in Group I-2, Condition 2 occupancies. Gas fireplace appliances and decorative gas appliances shall be prohibited in Group I-2, Condition 2 occupancies except where such appliances are direct-vent appliances installed in public lobby and waiting areas that are not within smoke compartments containing patient sleeping areas. The appliance controls shall be located where they can be accessed only by facility staff. Such fireplaces shall comply with Sections 501.2 and 604.1 and Section 915 of the *Fire Code of New York State*.

303.4 Protection from vehicle impact damage. Appliances shall not be installed in a location subject to vehicle impact damage except where protected by an *approved* means.

303.5 Indoor locations. Furnaces and boilers installed in closets and alcoves shall be *listed* for such installation.

303.6 Outdoor locations. Appliances installed in outdoor locations shall be either *listed* for outdoor installation or provided with protection from outdoor environmental factors that influence the operability, durability and safety of the appliances.

303.7 Pit locations. Appliances installed in pits or excavations shall not come in direct contact with the surrounding soil. The sides of the pit or excavation shall be held back not less than 12 inches (305 mm) from the *appliance*. Where the depth exceeds 12 inches (305 mm) below adjoining grade, the walls of the pit or excavation shall be lined with concrete or masonry, such concrete or masonry shall extend not less than 4 inches (102 mm) above adjoining grade and shall have sufficient lateral load-bearing capacity to resist collapse. The *appliance* shall be protected from flooding in an *approved* manner.

SECTION 304

COMBUSTION, VENTILATION AND DILUTION AIR

304.1 General. Air for combustion, ventilation and dilution of flue gases for appliances installed in buildings shall be provided by application of one of the methods prescribed in Sections 304.5 through 304.9. Where the requirements of Section 304.5 are not met, outdoor air shall be introduced in accordance with one of the methods prescribed in Sections 304.6 through 304.9. *Direct-vent appliances*, gas appliances of other than natural draft design, vented gas appliances not designated as Category I and appliances equipped with power burners shall be provided with combustion, ventilation and dilution air in accordance with the *appliance* manufacturer's instructions.

Exception: Type 1 clothes dryers that are provided with makeup air in accordance with Section 614.6.

304.2 Appliance location. Appliances shall be located so as not to interfere with proper circulation of combustion, ventilation and dilution air.

304.3 Draft hood/regulator location. Where used, a draft hood or a barometric draft regulator shall be installed in the same room or enclosure as the *appliance* served to prevent any difference in pressure between the hood or regulator and the *combustion air* supply.

304.4 Makeup air provisions. Where exhaust fans, clothes dryers and kitchen ventilation systems interfere with the operation of appliances, makeup air shall be provided.

304.5 Indoor combustion air. The required volume of indoor air shall be determined in accordance with Section 304.5.1 or 304.5.2, except that where the air infiltration rate is known to be less than 0.40 air changes per hour (ACH), Section 304.5.2 shall be used. The total required volume shall be the sum of the required volume calculated for all appliances located within the space. Rooms communicating directly with the space in which the appliances are installed through openings not furnished with doors, and through *combustion air* openings sized and located in accordance with Section 304.5.3, are considered to be part of the required volume.

304.5.1 Standard method. The minimum required volume shall be 50 cubic feet per 1,000 Btu/h (4.8 m³/kW) of the *appliance* input rating.

304.5.2 Known air-infiltration-rate method. Where the air infiltration rate of a structure is known, the minimum required volume shall be determined as follows:

For appliances other than fan-assisted, calculate volume using Equation 3-1.

$$Required\ Volume_{other} \geq \frac{21\ ft^3}{ACH} \left(\frac{I_{other}}{1,000\ Btu/h} \right) \quad (\text{Equation 3-1})$$

For fan-assisted appliances, calculate volume using Equation 3-2.

$$Required\ Volume_{fan} \geq \frac{15\ ft^3}{ACH} \left(\frac{I_{fan}}{1,000\ Btu/h} \right) \quad (\text{Equation 3-2})$$

where:

I_{other} = All appliances other than fan assisted (input in Btu/h).

I_{fan} = Fan-assisted *appliance* (input in Btu/h).

ACH = Air change per hour (percent of volume of space exchanged per hour, expressed as a decimal).

For purposes of this calculation, an infiltration rate greater than 0.60 ACH shall not be used in Equations 3-1 and 3-2.

304.5.3 Indoor opening size and location. Openings used to connect indoor spaces shall be sized and located in accordance with Sections 304.5.3.1 and 304.5.3.2 (see Figure 304.5.3).

304.5.3.1 Combining spaces on the same story. Where combining spaces on the same story, each open-

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ing shall have a minimum free area of 1 square inch per 1,000 Btu/h (2200 mm²/kW) of the total input rating of all appliances in the space, but not less than 100 square inches (0.06 m²). One permanent opening shall commence within 12 inches (305 mm) of the top and one permanent opening shall commence within 12 inches (305 mm) of the bottom of the enclosure. The minimum dimension of air openings shall be not less than 3 inches (76 mm).

304.5.3.2 Combining spaces in different stories. The volumes of spaces in different stories shall be considered to be communicating spaces where such spaces are connected by one or more permanent openings in doors or floors having a total minimum free area of 2 square inches per 1,000 Btu/h (4402 mm²/kW) of total input rating of all appliances.

304.6 Outdoor combustion air. Outdoor combustion air shall be provided through opening(s) to the outdoors in accordance with Section 304.6.1 or 304.6.2. The minimum dimension of air openings shall be not less than 3 inches (76 mm).

304.6.1 Two-permanent-openings method. Two permanent openings, one commencing within 12 inches (305 mm) of the top and one commencing within 12 inches (305 mm) of the bottom of the enclosure, shall be provided. The openings shall communicate directly or by ducts with the outdoors or spaces that freely communicate with the outdoors.

Where directly communicating with the outdoors, or where communicating with the outdoors through vertical ducts, each opening shall have a minimum free area of 1 square inch per 4,000 Btu/h (550 mm²/kW) of total input rating of all appliances in the enclosure [see Figures 304.6.1(1) and 304.6.1(2)].

Where communicating with the outdoors through horizontal ducts, each opening shall have a minimum free area of not less than 1 square inch per 2,000 Btu/h (1100 mm²/kW) of total input rating of all appliances in the enclosure [see Figure 304.6.1(3)].

304.6.2 One-permanent-opening method. One permanent opening, commencing within 12 inches (305 mm) of the top of the enclosure, shall be provided. The appliance

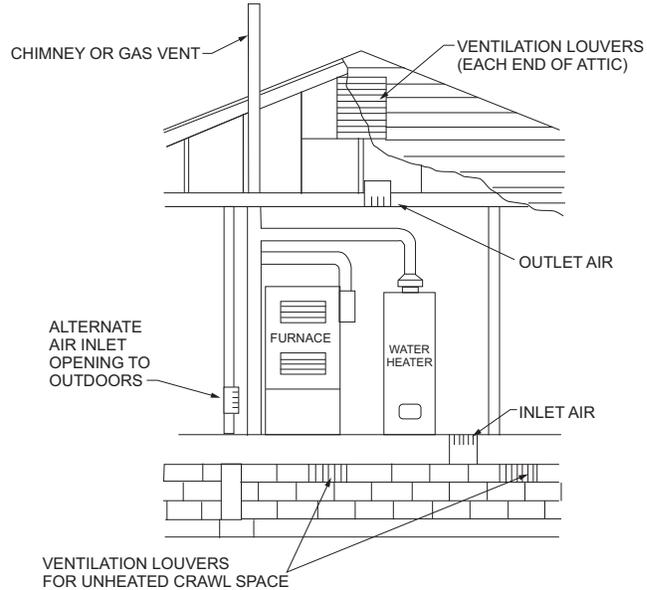


FIGURE 304.6.1(1)
ALL AIR FROM OUTDOORS—INLET AIR FROM VENTILATED CRAWL SPACE AND OUTLET AIR TO VENTILATED ATTIC
 (see Section 304.6.1)

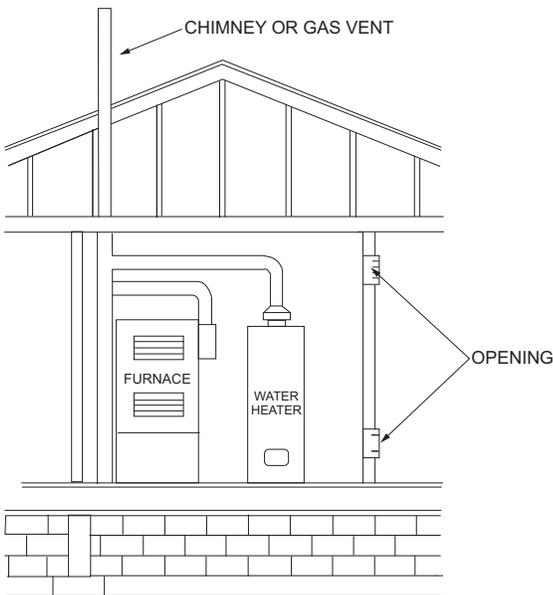
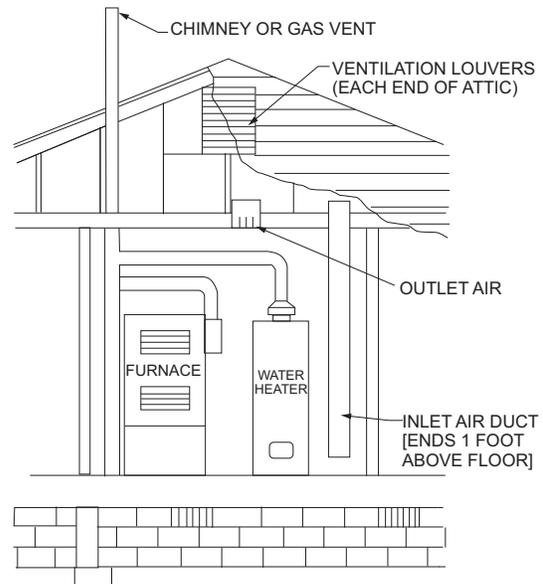


FIGURE 304.5.3
ALL AIR FROM INSIDE THE BUILDING
 (see Section 304.5.3)



For SI: 1 foot = 304.8 mm.

FIGURE 304.6.1(2)
ALL AIR FROM OUTDOORS THROUGH VENTILATED ATTIC
 (see Section 304.6.1)

shall have clearances of not less than 1 inch (25 mm) from the sides and back and 6 inches (152 mm) from the front of the *appliance*. The opening shall directly communicate with the outdoors, or through a vertical or horizontal duct, to the outdoors or spaces that freely communicate with the outdoors (see Figure 304.6.2) and shall have a minimum free area of 1 square inch per 3,000 Btu/h (734 mm²/kW) of the total input rating of all appliances located in the enclosure and not less than the sum of the areas of all vent connectors in the space.

304.7 Combination indoor and outdoor combustion air. The use of a combination of indoor and outdoor *combustion air* shall be in accordance with Sections 304.7.1 through 304.7.3.

304.7.1 Indoor openings. Where used, openings connecting the interior spaces shall comply with Section 304.5.3.

304.7.2 Outdoor opening location. Outdoor opening(s) shall be located in accordance with Section 304.6.

304.7.3 Outdoor opening(s) size. The outdoor opening(s) size shall be calculated in accordance with the following:

1. The ratio of interior spaces shall be the available volume of all communicating spaces divided by the required volume.
2. The outdoor size reduction factor shall be one minus the ratio of interior spaces.
3. The minimum size of outdoor opening(s) shall be the full size of outdoor opening(s) calculated in accordance with Section 304.6, multiplied by the reduction factor. The minimum dimension of air openings shall be not less than 3 inches (76 mm).

304.8 Engineered installations. Engineered *combustion air* installations shall provide an adequate supply of combustion, ventilation and dilution air and shall be *approved*.

304.9 Mechanical combustion air supply. Where all *combustion air* is provided by a mechanical air supply system, the

combustion air shall be supplied from the outdoors at a rate not less than 0.35 cubic feet per minute per 1,000 Btu/h (0.034 m³/min per kW) of total input rating of all appliances located within the space.

304.9.1 Makeup air. Where exhaust fans are installed, makeup air shall be provided to replace the exhausted air.

304.9.2 Appliance interlock. Each of the appliances served shall be interlocked with the mechanical air supply system to prevent main burner operation when the mechanical air supply system is not in operation.

304.9.3 Combined combustion air and ventilation air system. Where *combustion air* is provided by the building's mechanical ventilation system, the system shall provide the specified *combustion air* rate in addition to the required ventilation air.

304.10 Louvers and grilles. The required size of openings for combustion, ventilation and dilution air shall be based on the net free area of each opening. Where the free area through a design of louver, grille or screen is known, it shall be used in calculating the size opening required to provide the free area specified. Where the design and free area of louvers and grilles are not known, it shall be assumed that wood louvers will have 25-percent free area and metal louvers and grilles will have 75-percent free area. Screens shall have a mesh size not smaller than 1/4 inch (6.4 mm). Nonmotorized louvers and grilles shall be fixed in the open position. Motorized louvers shall be interlocked with the *appliance* so that they are proven to be in the full open position prior to main burner ignition and during main burner operation. Means shall be provided to prevent the main burner from igniting if the louvers fail to open during burner start-up and to shut down the main burner if the louvers close during operation.

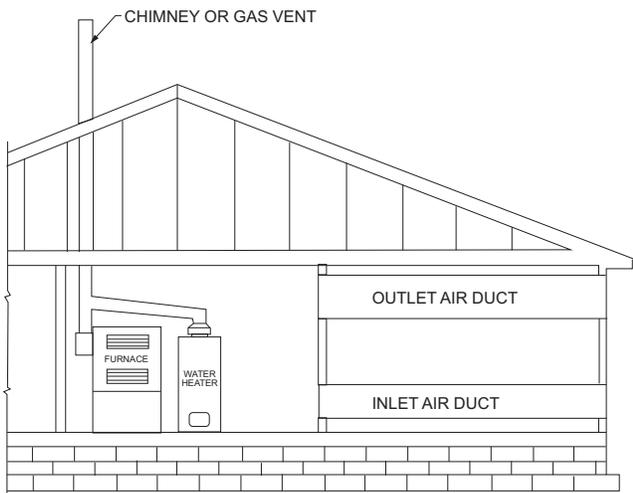


FIGURE 304.6.1(3)
ALL AIR FROM OUTDOORS
(see Section 304.6.1)

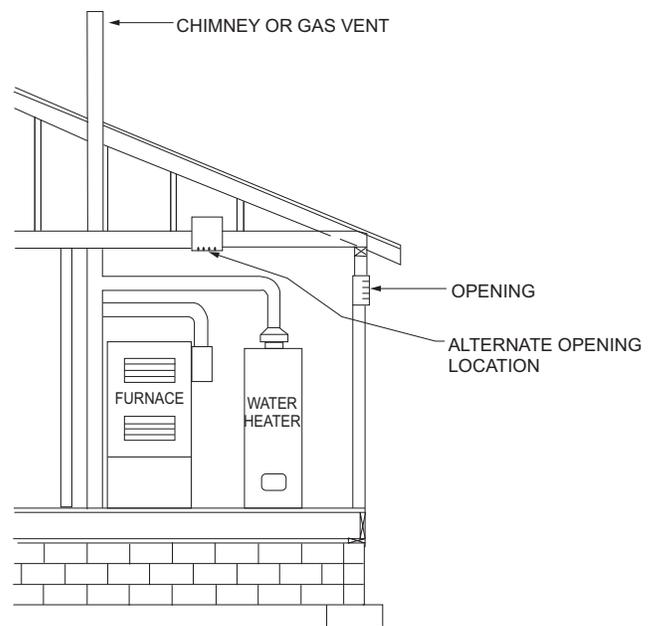


FIGURE 304.6.2
SINGLE COMBUSTION AIR OPENING,
ALL AIR FROM THE OUTDOORS
(see Section 304.6.2)

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304.11 Combustion air ducts. *Combustion air* ducts shall comply with all of the following:

1. Ducts shall be constructed of galvanized steel complying with Chapter 6 of the *Mechanical Code of New York State* or of a material having equivalent corrosion resistance, strength and rigidity.

Exception: Within dwellings units, unobstructed stud and joist spaces shall not be prohibited from conveying *combustion air*, provided that not more than one required fireblock is removed.

2. Ducts shall terminate in an unobstructed space allowing free movement of *combustion air* to the appliances.
3. Ducts shall serve a single enclosure.
4. Ducts shall not serve both upper and lower *combustion air* openings where both such openings are used. The separation between ducts serving upper and lower *combustion air* openings shall be maintained to the source of *combustion air*.
5. Ducts shall not be screened where terminating in an attic space.
6. Horizontal upper *combustion air* ducts shall not slope downward toward the source of *combustion air*.
7. The remaining space surrounding a chimney liner, gas vent, special gas vent or plastic *pipng* installed within a masonry, metal or factory-built chimney shall not be used to supply *combustion air*.

Exception: Direct-vent gas-fired appliances designed for installation in a solid fuel-burning *fire-place* where installed in accordance with the manufacturer's instructions.

8. *Combustion air* intake openings located on the exterior of a building shall have the lowest side of such openings located not less than 12 inches (305 mm) vertically from the adjoining finished ground level.

304.12 Protection from fumes and gases. Where corrosive or flammable process fumes or gases, other than products of combustion, are present, means for the disposal of such fumes or gases shall be provided. Such fumes or gases include carbon monoxide, hydrogen sulfide, ammonia, chlorine and halogenated hydrocarbons.

In barbershops, beauty shops and other facilities where chemicals that generate corrosive or flammable products, such as aerosol sprays, are routinely used, nondirect vent-type appliances shall be located in a mechanical room separated or partitioned off from other areas with provisions for *combustion air* and dilution air from the outdoors. *Direct-vent appliances* shall be installed in accordance with the *appliance* manufacturer's instructions.

SECTION 305 INSTALLATION

305.1 General. *Equipment* and appliances shall be installed as required by the terms of their approval, in accordance with

the conditions of listing, the manufacturer's instructions and this code. Manufacturers' installation instructions shall be available on the job site at the time of inspection. Where a code provision is less restrictive than the conditions of the listing of the *equipment* or *appliance* or the manufacturer's installation instructions, the conditions of the listing and the manufacturer's installation instructions shall apply.

Unlisted appliances *approved* in accordance with Section 301.3 shall be limited to uses recommended by the manufacturer and shall be installed in accordance with the manufacturer's instructions, the provisions of this code and the requirements determined by the *building official*.

305.2 Hazardous area. *Equipment* and appliances having an *ignition source* shall not be installed in Group H occupancies or control areas where open use, handling or dispensing of combustible, flammable or explosive materials occurs.

305.3 Elevation of ignition source. *Equipment* and appliances having an *ignition source* shall be elevated such that the source of ignition is not less than 18 inches (457 mm) above the floor in hazardous locations and public garages, private garages, repair garages, motor fuel-dispensing facilities and parking garages. For the purpose of this section, rooms or spaces that are not part of the *living space* of a *dwelling unit* and that communicate directly with a private garage through openings shall be considered to be part of the private garage.

Exception: Elevation of the *ignition source* is not required for appliances that are *listed* as flammable vapor ignition resistant.

305.3.1 Installation in residential garages. In residential garages where appliances are installed in a separate, enclosed space having *access* only from outside of the garage, such appliances shall be permitted to be installed at floor level, provided that the required *combustion air* is taken from the exterior of the garage.

305.3.2 Parking garages. Connection of a parking garage with any room in which there is a fuel-fired *appliance* shall be by means of a vestibule providing a two-doorway separation, except that a single door is permitted where the sources of ignition in the *appliance* are elevated in accordance with Section 305.3.

Exception: This section shall not apply to *appliance* installations complying with Section 305.4.

305.4 Public garages. Appliances located in public garages, motor fuel-dispensing facilities, repair garages or other areas frequented by motor vehicles shall be installed not less than 8 feet (2438 mm) above the floor. Where motor vehicles are capable of passing under an appliance, the appliance shall be installed at the clearances required by the appliance manufacturer and not less than 1 foot (305 mm) higher than the tallest vehicle garage door opening.

Exception: The requirements of this section shall not apply where the appliances are protected from motor vehicle impact and installed in accordance with Section 305.3 and NFPA 30A.