Engineers, American Society of Mechanical Engineers, National Electrical Refrigerator Manufacturers Association, National Fire Protection Association, and ASRE met to expand the code to address all of the issues raised on the use of refrigeration equipment. The first Safety Code for Mechanical Refrigeration, recognized as American Standard B9 in October 1930, appeared in the first edition, 1932–1933, of the ASRE Refrigerating Handbook and Catalog. ASRE revisions designated ASA B9 appeared in 1933 and 1939. ASRE revisions designated ASA B9.1 appeared in 1950, 1953, and 1958. After the formation of ASHRAE, editions appeared as ASA B9.1-1964, ANSI B9.1-1971, ANSI/ASHRAE 15-1978, ANSI/ ASHRAE 15-1989, ANSI/ASHRAE 15-1992, ANSI/ASHRAE 15-1994, ANSI/ASHRAE 15-2001, ANSI/ASHRAE 15-2004, and ANSI/ASHRAE 15-2007.

1. PURPOSE

This standard specifies safe design, construction, installation, and operation of refrigeration systems.

2. SCOPE

2.1 This standard establishes safeguards for life, limb, health, and property and prescribes safety requirements.

2.2 This standard applies

- a. to the design, construction, test, installation, operation, and inspection of mechanical and absorption refrigeration systems, including heat pump systems used in stationary applications;
- b. to modifications including replacement of parts or components if they are not identical in function and capacity; and
- c. to substitutions of refrigerant having a different designation.

3. DEFINITIONS

administrative control: the use of human action aimed at achieving a safe level of performance from a system or subsystem. Compare to *engineering control*.

approved: acceptable to the authority having jurisdiction (AHJ).

approved, nationally recognized laboratory: a laboratory that is acceptable to the AHJ and provides uniform testing and examination procedures and standards for meeting design, manufacturing, and factory testing requirements of this code; is organized, equipped, and qualified for testing; and has a follow-up inspection service of the current production of the listed products.

back pressure: the static pressure existing at the outlet of an operating pressure-relief device due to pressure in the discharge line.

balanced relief valve: a pressure-relief valve that incorporates means of minimizing the effect of back pressure on the operational characteristics of the valve (opening pressure, closing pressure, and relieving capacity). *blends:* refrigerants consisting of mixtures of two or more different chemical compounds, often used individually as refrigerants for other applications.

brazed joint: a gas-tight joint obtained by the joining of metal parts with metallic mixtures or alloys that melt at temperatures above 1000° F (537°C) but less than the melting temperatures of the joined parts.

cascade refrigerating system: a refrigerating system having two or more refrigerant circuits, each with a pressure-imposing element, a condenser, and an evaporator, where the evaporator of one circuit absorbs the heat rejected by another (lowertemperature) circuit.

companion or *block valves:* pairs of mating stop valves that allow sections of a system to be joined before opening these valves or separated after closing them.

compound refrigerating system: a multi-stage refrigerating system in which a single charge of refrigerant circulates through all stages of compression. See *multi-stage refrigerating system*.

compressor: a machine used to compress refrigerant vapor.

compressor unit: a compressor with its prime mover and accessories.

condenser: that part of the refrigerating system where refrigerant is liquefied by the removal of heat.

condenser coil: a condenser constructed of pipe or tubing, not enclosed in a pressure vessel.

condensing unit: a combination of one or more power-driven compressors, condensers, liquid receivers (when required), and regularly furnished accessories.

containers, refrigerant: a cylinder for the transportation of refrigerant.

corridor: an enclosed passageway that limits travel to a single path.

critical pressure, critical temperature, and *critical volume:* a point on the saturation curve where the refrigerant liquid and vapor have identical volume, density, and enthalpy and there is no latent heat.

design pressure: the maximum pressure for which a specific part of a refrigerating system is designed.

dual pressure-relief device: two pressure-relief devices mounted on a three-way valve that allows one device to remain active while the other is isolated.

duct: a tube or conduit used to convey or encase

An *air duct* is a tube or conduit used to convey air (air passages in self-contained systems are not air ducts).

A *pipe duct* is a tube or conduit used to encase pipe or tubing.

engineering control: the use of sensors, actuators, and other equipment to achieve a safe level of performance from a

system or subsystem without the aid of human interaction. Compare to *administrative control*.

evaporator: that part of the refrigerating system designed to vaporize liquid refrigerant to produce refrigeration.

evaporator coil: an evaporator constructed of pipe or tubing, not enclosed in a pressure vessel.

fusible plug: a plug containing an alloy that will melt at a specified temperature and relieve pressure.

header: a pipe or tube (extruded, cast, or fabricated) to which other pipes or tubes are connected.

heat pump: a refrigerating system used to transfer heat into a space or substance.

highside: those portions of the refrigerating system that are subject to approximate condensing pressure.

horsepower: the power delivered from the prime mover to the compressor of a refrigerating system.

IDLH (immediately dangerous to life or health): the maximum concentration from which unprotected persons are able to escape within 30 minutes without escape-impairing symptoms or irreversible health effects.¹

informative appendix: an appendix that is not part of the standard but is included for information only.

inside dimension: inside diameter, width, height, or cross-sectional diagonal.

internal gross volume: the volume as determined from internal dimensions of the container with no allowance for the volume of internal parts.

limited charge system: a system in which, with the compressor idle, the design pressure will not be exceeded when the refrigerant charge has completely evaporated.

liquid receiver: a vessel, permanently connected to a refrigerating system by inlet and outlet pipes, for storage of liquid refrigerant.

listed: equipment or materials included in a list published by an approved, nationally recognized testing laboratory, inspection agency, or other organization concerned with product evaluation that maintains periodic inspection of production of listed equipment or materials and whose listing states either that the equipment or material meets nationally recognized standards or has been tested and found suitable for use in a specified manner.

lithium bromide/water absorption system: an absorption system where water (R-718) is the refrigerant and lithium bromide (LiBr) is the absorbent.

lobby: a waiting room or large hallway serving as a waiting room.

lower flammability limit (LFL): the minimum concentration of the refrigerant that propagates a flame through a homogeneous mixture of refrigerant and air.

lowside: the portion of a refrigerating system that is subjected to approximate evaporator pressure.

machinery: the refrigerating equipment forming a part of the refrigerating system including, but not limited to, any or all of the following: compressor, condenser, liquid receiver, evaporator, and connecting piping.

machinery room: a space, meeting the requirements of Sections 8.11 and 8.12, that is designed to house compressors and pressure vessels.

manufacturer: the company or organization that evidences its responsibility by affixing its name, trademark, or trade name to refrigerating equipment.

means of egress: a continuous and unobstructed path of travel from any point in a building or structure to a public way.

mechanical joint: a gas-tight joint obtained by joining metal parts with a positive-holding mechanical construction such as flanged, screwed, or flared joints or compression fittings.

multi-stage refrigerating system: a refrigerating system in which compression of refrigerant is carried out in two or more steps.

nonpositive displacement compressor: a compressor in which the increase in vapor pressure is attained without changing the internal volume of the compression chamber.

normative appendix: an appendix including integral parts of the mandatory requirements of the standard, which, for reasons of convenience, are placed after all other normative elements.

occupancy: for class of occupancy, see Section 4.

occupied space: that portion of the premises accessible to or occupied by people, excluding machinery rooms.

pilot-operated relief valve: a pressure-relief valve in which the major relieving device is combined with and is controlled by a self-actuated auxiliary pressure-relief valve.

piping: the pipe or tube used to convey fluid from one part of a refrigeration system to another. Piping includes pipe, flanges, bolting, gaskets, valves, fittings, pipe-supporting fixtures, structural attachments, and the pressure-containing parts of other components, such as expansion joints, strainers, filters, and devices that serve such purposes as mixing, separating, muffling, snubbing, distributing, metering, or controlling flow.

positive displacement compressor: a compressor in which the increase in pressure is attained by changing the internal volume of the compression chamber.

premises: a tract of land and the buildings thereon.

pressure-imposing element: any device or portion of the equipment used to increase refrigerant pressure.

pressure-limiting device: a pressure-responsive electronic or mechanical control designed to automatically stop the operation of the pressure-imposing element at a predetermined pressure.

pressure-relief device: a pressure-, not temperature-, actuated valve or rupture member designed to automatically relieve pressure in excess of its setting.

pressure-relief valve: a pressure-actuated valve held closed by a spring or other means and designed to automatically relieve pressure in excess of its setting.

pressure vessel: any refrigerant-containing receptacle in a refrigerating system. This does not include evaporators where each separate evaporator section does not exceed 0.5 ft^3 (0.014 m³) of refrigerant-containing volume regardless of the maximum inside dimension. This also does not include evaporator coils, compressors, condenser coils, controls, headers, pumps, and piping.

pumpdown charge: the quantity of refrigerant stored at some point in the refrigeration system for operational, service, or standby purposes.

reclaimed refrigerants: refrigerants reprocessed to the same specifications as new refrigerants by any means, including distillation. Such refrigerants have been chemically analyzed to verify that those specifications have been met.

recovered refrigerants: refrigerants removed from a system in any condition without necessarily testing or processing them.

recycled refrigerants: refrigerants for which contaminants have been reduced by oil separation, removal of noncondensible gases, and single or multiple passes through filter driers or other devices that reduce moisture, acidity, and particulate matter.

refrigerant: the fluid used for heat transfer in a refrigerating system; the refrigerant absorbs heat and transfers it at a higher temperature and a higher pressure, usually with a change of state.

refrigerant concentration limit (RCL): the refrigerant concentration limit, in air, determined in accordance with ANSI/ASHRAE Standard 34¹ and intended to reduce the risks of acute toxicity, asphyxiation, and flammability hazards in normally occupied, enclosed spaces.

refrigerant detector: a device that is capable of sensing the presence of refrigerant vapor.

refrigerating system: a combination of interconnected parts forming a closed circuit in which refrigerant is circulated for the purpose of extracting, then rejecting, heat. (See Section 5 for classification of refrigerating systems by type.)

refrigerating system classification: refrigerating systems are classified according to the degree of probability, low or high, that leaked refrigerant from a failed connection, seal, or component could enter an occupied area. The distinction is based on the basic design or location of the components. (See Section 5 for classification of refrigerating systems by type.)

refrigerating system, direct: see Section 5.1.1.

refrigerating system, indirect: see Section 5.1.2.

rupture member: a device that will rupture and release refrigerant to relieve pressure.

saturation pressure: the pressure at which vapor and liquid exist in equilibrium at a given temperature.

sealed ammonia/water absorption system: an absorption system where ammonia (R-717) is the refrigerant and water (R-718) is the absorbent and all refrigerant-containing parts are made permanently tight by welding or brazing.

secondary coolant: any liquid used for the transmission of heat, without vaporization.

self-contained system: a complete, factory-assembled and factory-tested system that is shipped in one or more sections and has no refrigerant-containing parts that are joined in the field by other than companion or block valves.

set pressure: the pressure at which a pressure-relief device or pressure control is set to operate.

shall (shall not): used in this standard when a provision is (or is not) mandatory.

soldered joint: a gas-tight joint formed by joining metal parts with alloys that melt at temperatures not exceeding 800°F (426.5°C) and above 400°F (204.5°C).

specified: explicitly stated in detail. Specified limits or prescriptions are mandatory.

stop valve: a device used to shut off the flow of refrigerant.

tenant: a person or organization having the legal right to occupy a premises.

three-way valve: a service valve for dual pressure-relief devices that allows using one device while isolating the other from the system, maintaining one valve in operation at all times.

*TLV-TWA*ⁱ (*threshold limit value time weighted average*): the refrigerant concentration in air for a normal 8-hour work-day and a 40-hour workweek to which repeated exposure, day after day, will not cause an adverse effect in most persons.

ultimate strength: the stress at which rupture occurs.

unit system: see self-contained system.

unprotected tubing: tubing that is unenclosed and therefore exposed to crushing, abrasion, puncture, or similar damage after installation.

zeotropic: refers to blends comprising multiple components of different volatility that, when used in refrigeration cycles, change volumetric composition and saturation temperatures as they evaporate (boil) or condense at constant pressure. The word is derived from the Greek words *zein* (to boil) and *tropos* (to change).

4. OCCUPANCY CLASSIFICATION

4.1 Locations of refrigerating systems are described by occupancy classifications that consider the ability of people to respond to potential exposure to refrigerant as follows.

ⁱ TLV[®] is a registered trademark of the American Conference of Governmental Industrial Hygienists (ACGIH[®]).

4.1.1 *Institutional occupancy* is a premise or that portion of a premise from which, because they are disabled, debilitated, or confined, occupants cannot readily leave without the assistance of others. Institutional occupancies include, among others, hospitals, nursing homes, asylums, and spaces containing locked cells.

4.1.2 *Public assembly occupancy* is a premise or that portion of a premise where large numbers of people congregate and from which occupants cannot quickly vacate the space. Public assembly occupancies include, among others, auditoriums, ballrooms, classrooms, passenger depots, restaurants, and theaters.

4.1.3 *Residential occupancy* is a premise or that portion of a premise that provides the occupants with complete independent living facilities including permanent provisions for living, sleeping, eating, cooking, and sanitation. Residential occupancies include, among others, dormitories, hotels, multi-unit apartments, and private residences.

4.1.4 *Commercial occupancy* is a premise or that portion of a premise where people transact business, receive personal service, or purchase food and other goods. Commercial occupancies include, among others, office and professional buildings, markets (but not large mercantile occupancies), and work or storage areas that do not qualify as industrial occupancies.

4.1.5 *Large mercantile occupancy* is a premise or that portion of a premise where more than 100 persons congregate on

levels above or below street level to purchase personal merchandise.

4.1.6 *Industrial occupancy* is a premise or that portion of a premise that is not open to the public, where access by authorized persons is controlled, and that is used to manufacture, process, or store goods such as chemicals, food, ice, meat, or petroleum.

4.1.7 *Mixed occupancy* occurs when two or more occupancies are located within the same building. When each occupancy is isolated from the rest of the building by tight walls, floors, and ceilings and by self-closing doors, the requirements for each occupancy shall apply to its portion of the building. When the various occupancies are not so isolated, the occupancy having the most stringent requirements shall be the governing occupancy.

4.2 Equipment, other than piping, located outside a building and within 20 ft (6.1 m) of any building opening shall be governed by the occupancy classification of the building.

Exception: Equipment located within 20 ft (6.1 m) of the building opening for the machinery room.

5. REFRIGERATING SYSTEM CLASSIFICATION

5.1 Refrigerating Systems. Refrigerating systems are defined by the method employed for extracting or delivering heat as follows (see Figure 1).

Paragraph	Designation	Cooling or Heating Source	Air or Substance to be Cooled or Heated
5.1.1	Direct system		M
5.1.2.1	Indirect open spray system		
5.1.2.2	Double indirect open spray system	Vent	***
5.1.2.3	Indirect closed system		
5.1.2.4	Indirect vented closed system		

Figure 1 Refrigerating system designation.